

Hello! You can make Freedom of Information requests within Australia at [Right To Know](#)

Thank you! Hopefully your wait isn't too long.

By law, you should get a response promptly, and normally before the end of **October 23, 2014**.

Minister of Finance

[John Telford](#) made this Official Information Act request to [Minister of Finance](#)

Currently **waiting for a response** from [Minister of Finance](#), they must respond promptly and normally no later than **October 23, 2014** ([details](#)).

From: John Telford

September 25, 2014

Dear Minister of Finance,

I am seeking a list the unlicensed brokers who operated in the Philippines that came to the attention the then NZ Securities Commission and were listed as a warning on the NZ Securities Commission's web site. The period of interest is 2000 to 2005.

Thank You

Yours faithfully,

John Telford

**From: B English (MIN)
Minister of Finance**

September 25, 2014

On behalf of Hon Bill English, thank you for your email.

Please be assured that all correspondence is read and noted by this office. Where the Minister has portfolio or MP for Clutha-Southland responsibilities for the issues raised, a response will be sent to you in due course.

While the Minister considers all correspondence to be important, if your email falls outside of his portfolio or electorate responsibilities, or expresses a personal view, then your opinion will be noted and your correspondence may be transferred to another office or there may be no further response to you.

Thank you for taking the time to write.

**From: B English (MIN)
Minister of Finance**

September 30, 2014

Dear John Telford

On behalf of the Hon Bill English, Minister of Finance, I acknowledge receipt of your letter of 26 September 2014 requesting:

"a list the unlicensed brokers who operated in the Philippines that came to the attention the then NZ Securities Commission and were listed as a warning on the NZ Securities Commission's web site. The period of interest is 2000 to 2005".

Your request was received in this office on 26 September 2014 and will be responded to under the provisions of the Official Information Act.

Yours sincerely

Katy Greco-Ainslie
Private Secretary

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